

DOCKET NO. : TJU-2381

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Scott Waldman

Serial No.:

Group Art Unit:

Filing Date: Herewith

Examiner:

For: METHODS OF AND KITS AND COMPOSITIONS FOR DIAGNOSING  
COLORECTAL TUMORS AND METASTASIS THEREOF

EXPRESS MAIL LABEL NO: EL219292368US  
DATE OF DEPOSIT: May 3, 1999

Box ☒ Patent Application  
☐ Provisional ☐ Design ☐ Sequence

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

☒ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

continuation of prior application number S.N. 09/046,178, filed March 23, 1998  
which is a continuation of S.N. 08/789,270, filed January 28, 1997 which is a  
continuation of S.N. 08/305,056, filed September 13, 1994.

☐ A Provisional Patent Application under 37 C.F.R. 1.53(c).

☐ A Design Patent Application (submitted in duplicate).

Including the following:

☐ Provisional Application Cover Sheet.

☒ Specification, including pages 1 to 37 containing:

☒ Specification

- ☒ Claims
- ☒ Abstract
- ☐ Substitute Specification, including Claims and Abstract.

☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.

☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_, which in turn is a continuation-in-part of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.

☒ A copy of earlier application Serial No. 08/305,056, Filed September 13, 1994, including Specification, Claims and Abstract (pages 1 - 37), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.

☒ Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section): "This Application is a continuation application of U.S. Serial Number 09/046,178, filed March 23, 1998, ~~now U.S. Patent No. 5,928,833~~ allowed, which is a continuation application of U.S. Serial Number 08/789,270, filed January 28, 1997, which issued March 24, 1998 as U.S. Patent No. 5,731,159, which is a continuation application of U.S. Serial Number 08/305,056, filed September 13, 1994, which issued February 11, 1997 as U.S. Patent No. 5,601,990.

☐ Signed Statement attached deleting inventor(s) named in the prior application.

☐ A Preliminary Amendment.

☐ \_\_\_\_\_ Sheets of ☐ Formal ☐ Informal Drawings.

37

*[Handwritten signature]*

- ☐ Petition to Accept Photographic Drawings.
- ☐ Petition Fee
- ☐ An ☐ Executed ☐ Unexecuted Declaration or Oath and Power of Attorney.
- ☐ An Associate Power of Attorney.
- ☐ An ☐ Executed ☐ Copy of Executed Assignment of the Invention to \_\_\_\_\_
- ☐ A Recordation Form Cover Sheet.
- ☐ Recordation Fee - \$40.00.
- ☒ The prior application is assigned of record to Thomas Jefferson University.
- ☐ Priority is claimed under 35 U.S.C. § 119 of Patent Application No. \_\_\_\_\_  
filed \_\_\_\_\_ in \_\_\_\_\_ (country).
- ☐ A Certified Copy of each of the above applications for which priority is  
claimed:
- ☐ is enclosed.
- ☐ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.
- ☒ An ☒ Executed or ☐ Copy of Executed Earlier Statement Claiming Small Entity  
Status under 37 C.F.R. 1.9 and 1.27
- ☐ is enclosed.
- ☒ has been filed in prior application Serial No. 08/305,056 filed September  
13, 1994, said status is still proper and desired in present case.
- ☐ Diskette Containing DNA/Amino Acid Sequence Information.
- ☐ Statement to Support Submission of DNA/Amino Acid Sequence Information.
- ☐ The computer readable form in this application \_\_\_\_\_, is identical with that filed in  
Application Serial Number \_\_\_\_\_, filed \_\_\_\_\_. In accordance with 37 CFR  
1.821(e), please use the ☐ first-filed, ☐ last-filed or ☐ only computer readable  
form filed in that application as the computer readable form for the instant  
application. It is understood that the Patent and Trademark Office will make the  
necessary change in application number and filing date for the computer readable

form that will be used for the instant application. A paper copy of the Sequence Listing is ☐ included in the originally-filed specification of the instant application, ☐ included in a separately filed preliminary amendment for incorporation into the specification.

- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ A copy of Petition for Extension of Time as filed in the prior case.
- ☐ Appended Material as follows: \_\_\_\_\_
- ☒ Return Receipt Postcard (should be specifically itemized).
- ☐ Other as follows: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



## FEE CALCULATION:

- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

			SMALL ENTITY		NOT SMALL ENTITY	
			RATE	FEE	RATE	FEE
PROVISIONAL APPLICATION			\$75.00	\$	\$150.00	\$
DESIGN APPLICATION			\$155.00	\$	\$310.00	\$
UTILITY APPLICATIONS BASE FEE			\$380.00	\$380.00	\$760.00	\$
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS						
	No. Filed	No. Extra				
TOTAL CLAIMS	8- 20 =	0	\$9 each	\$0	\$18 each	\$
INDEP. CLAIMS	2- 3 =	0	\$39 each	\$0	\$78 each	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			\$130	\$0	\$260	\$
ADDITIONAL FILING FEE				\$0		\$
TOTAL FILING FEE DUE				\$380.00		\$

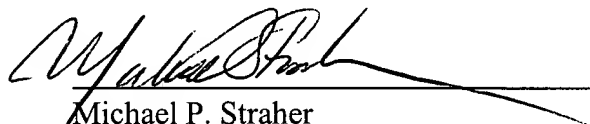
- ☐ A Check is enclosed in the amount of \$\_\_\_\_\_.
- ☐ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The foregoing amount due.
- ☐ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☐ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- ☐ The issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.
- ☒ Applicants intend to pay the filing fee following receipt of the Notice to File Missing Parts.

☐ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date:

*May 3, 1999*

  
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